

2872

Attorney's Docket No.: 042390P11429

Patent

In re the Application of: Ansheng Liu et al.
(inventor(s))

Application No.: 09/881,218

Filed: June 13, 2001

For: METHOD AND APPARATUS FOR TUNING A BRAGG GRATING IN A SEMICONDUCTOR
SUBSTRATE
(title)

Box Non-Fee Amendment
Assistant Commissioner for Patents
Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

 Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.
 A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.
 X No additional fee is required.

The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra	Rate	Additional Fee	Rate	Additional Fee
Total Claims	* 9	Minus	** 29	0	X9	\$	X18	\$ 0.00
Indep. Claims	* 1	Minus	*** 3	0	X42	\$	X84	\$ 0.00
First Presentation of Multiple Dependent Claim(s)					+140	\$	+280	\$
					Total Add. Fee	\$	Total Add. Fee	\$ 0.00

- * If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- ** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- *** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on January 2, 2003
Date of Deposit

Luci M. Arevalo
Name of Person Mailing Correspondence
Luci M. Arevalo 01-02-03
Signature Date

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_____ A check in the amount of \$ _____ is attached for presentation of additional claim(s).
_____ Applicant(s) hereby Petition(s) for an Extension of Time of _____ month(s) pursuant to
37 C.F.R. § 1.136(a).

_____ A check for \$ _____ is attached for processing fees under 37 C.F.R. § 1.17.

_____ Please charge my Deposit Account No. 02-2666 the amount of \$ _____.

A duplicate copy of this sheet is enclosed.

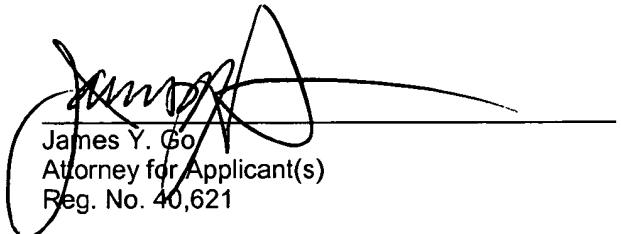
X The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the
following fees associated with this communication or credit any overpayment to Deposit Account
No. 02-2666 (a duplicate copy of this sheet is enclosed):

X Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of
extra claims.

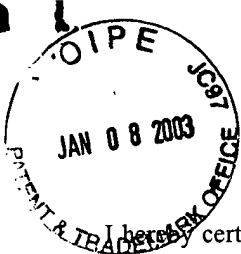
X Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Date: 1-2-03


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January 2, 2003

Date Mailed

Luci M. Arevalo

Name

Luci M. Arevalo

Signature

01-02-03

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Ansheng Liu et al.

Serial No.: 09/881,218

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For: METHOD AND APPARATUS
FOR TUNING A BRAGG GRATING IN A
SEMICONDUCTOR SUBSTRATE

Docket No.: 042390P11429

Examiner: Joshua L. Pritchett

Art Unit: 2872

#5/A
T. Y. Wang
1-9-03

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Washington, DC 20231

ELECTION/AMENDMENT IN RESPONSE TO RESTRICTION REQUIREMENT

Sir:

This election/amendment is submitted in response to the Restriction Requirement mailed December 17, 2002, in connection with the above-noted patent application.

Election

Applicant hereby elects Group I, comprising claims 1-9, without traverse.

Amendment

Please cancel claims 10-29 without waiver or prejudice.

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Charge Deposit Account

Please charge our Deposit Account No. 02-2666 for any additional fee(s) that may be due in this matter, and please credit the same deposit account for any overpayment.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 1-2-03


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Enclosures: Transmittal Letter
Postcard